

11/14/01

jc806 U.S.

PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

5988-035-27

First Inventor or Application Identifier

Kazuo KAWAGUCHI

Title

ANTI-REFLECTION COATING FORMING COMPOSITION

jc879 U.S. PTO
09/987367

11/14/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **32**
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets
4. ☒ Oath or Declaration Total Pages **3**
a. ☒ Newly executed (original)
b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☒ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☒ Other: Check in the amount of \$780.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

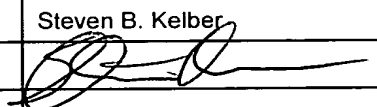
17. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☒ This application claims priority of provisional application Serial No.

Filed

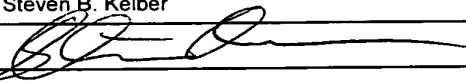
18. CORRESPONDENCE ADDRESS

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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	November 14, 2001
Name		Registration No.	
		Telephone	202-861-3900

FEE TRANSMITTAL					Docket No.		5988-035-27			
					Serial No.		NEW PATENT APPLICATION			
					Filing Date		HEREWITH			
					Inventor(s)		Kazuo KAWAGUCHI et al.			
					Group Art Unit					
TOTAL AMOUNT OF PAYMENT					\$780.00		Examiner			

1. <input type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.										FEE CALCULATION (continued)					
										3. ADDITIONAL FEES					
										Large Entity		Small Entity		Fee Description	
2. <input checked="" type="checkbox"/> Check enclosed.										Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
FEE CALCULATION										105	130	205	65	Surcharge-late filing fee or oath	
1. BASIC FILING FEE										127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
Large Entity		Small Entity		Fee Description		139	130	139	130	Non-English specification					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid	147	2520	147	2520	Ex parte reexam. fee				
101	740	201	370	Utility filing fee		740.00	115	110	215	55	1-mo. ext. of time				
106	330	206	165	Design filing fee			116	400	216	200	2-mo. ext. of time				
107	510	207	255	Plant filing fee			117	920	217	460	3-mo. ext. of time				
108	740	208	370	Reissue filing fee			118	1440	218	720	4-mo. ext. of time				
114	160	214	80	Provisional filing fee			128	1960	228	980	5-mo. ext. of time				
SUBTOTAL (1)						\$740.00	119	320	219	160	Notice of Appeal				
2. EXTRA CLAIM FEES										120	320	220	160	Appeal Brief	
tot. claims		12	-	20*	=	0	x	\$18	=	0	121	280	221	140	Request for Oral Hearing
ind. claims		1	-	3*	=	0	x	\$84	=	0	142	1280	242	640	Utility/Reissue Issue Fee
<input type="checkbox"/> Multiple Dependent Claims						\$280	=				143	460	243	230	Design Issue Fee
Large Entity		Small Entity		Fee Description		144	620	244	310	Plant Issue Fee					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			122	130	122	130	Petitions to the Commissioner					
103	18	203	9	Claims in excess of 20		126	180	126	180	IDS Submission					
102	84	202	42	Independent claims in excess of 3		581	40	581	40	Assignment	40.00				
104	280	204	140	Multiple dependent claim, if not paid		179	740	279	370	For Filing RCE					
109	84	209	42	*Reissue independent claims over original patent		169	900	169	900	Expedited Design					
110	18	210	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):									
SUBTOTAL (2)												\$0.00			
* or number previously paid, if greater; For Reissues, see above										SUBTOTAL (3)		\$40.00			

Name		Steven B. Kelber		Registration No.		30,073	
Signature				Date	November 14, 2001	Telephone	202-861-3900
Name				Registration No.			